

#### COMMUNITY DEVELOPMENT COMMISSION

of the County of Los Angeles

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Gloria Molina Mark Ridley-Thomas Zev Yaroslavsky Don Knabe Michael D. Antonovich Commissioners

Sean Rogan Executive Director

June 12, 2012

**ADOPTED** 

Community Development Commission

The Honorable Board of Commissioners Community Development Commission County of Los Angeles 383 Kenneth Hahn Hall of Administration 500 West Temple Street Los Angeles, California 90012 1-D

June 12, 2012

SACHI A. HAMAI EXECUTIVE OFFICER

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Dear Commissioners:

APPROVE CONTRACT AND CAPITAL LEASE FOR DATA MIGRATION AND DATA CENTER IMPLEMENTATION PLAN SERVICES
(ALL DISTRICTS) (3 VOTE)

CIO RECOMMENDATION: APPROVE (X) APPROVE WITH MODIFICATION ( )
DISAPPROVE ( )

#### SUBJECT

This letter recommends award of a contract to Epoch Universal, Inc. (Epoch) to provide Data Migration and Data Center Implementation Plan Services for the Commission and Housing Authority's new headquarters in Alhambra. Epoch will be responsible for setting up a data center at the new facility to meet the organization's needs for networking, wireless and voice communication, data storage and security, including all required equipment. Epoch will also ensure a secure and thorough transfer of existing applications, data and infrastructure from the Commission and Housing Authority's three current offices to the new facility. This letter also recommends approval of a capital lease with Lease Finance Funding, LLC, to finance the contract with Epoch.

#### IT IS RECOMMENDED THAT YOUR BOARD:

- 1. Approve and authorize the Executive Director or his designee to execute, and if necessary, terminate a Data Center Implementation Plan Services Contract (Contract) with Epoch in the amount of \$3,764,722.
- 2. Authorize the Executive Director or his designee to execute a five-year capital lease for up to \$3,130,482 with Lease Finance Funding, LLC, to finance the purchase and implementation of the

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Cisco networking system and ShoreTel phone system through the Contract with Epoch, with the first monthly payment of \$56,905 starting July 1, 2012, using funds included in the Commission and Housing Authority's Fiscal Year 2012-2013 approved budgets, and to be included in future years' budget process.

- 3. Authorize the Commission to use up to \$358,240 in program funds included in the Commission and Housing Authority's Fiscal Year 2011-2012 approved budgets for the payment of sales tax and warranty costs for the Cisco networking equipment from Epoch.
- 4. Authorize the Commission to use up to \$276,000 per year in program funds, to be included in the Commission and Housing Authority's annual budget process, for Voice and Data Connectivity through Centurylink, to be paid monthly through the Contract with Epoch.
- 5. Authorize the Executive Director or his designee to extend the time of performance for up to four additional years, in one-year increments, for ongoing data center maintenance for network and telephony, at an annual cost not to exceed \$210,000, using funds to be approved through the annual budget process.
- 6. Authorize the Executive Director or his designee to execute amendments to the Contract with Epoch, following approval as to form by County Counsel, to increase the total amount of compensation by up to ten percent of the total Contract amount to cover any unforeseen costs over the term of the Contract, modify the scope of work to address unforeseen issues, or make other changes necessary for the administration and implementation of the Contract.
- 7. Find that approval of the Contract is exempt from the provisions of the California Environmental Quality Act (CEQA), as described herein, because the action will not have the potential for causing a significant effect on the environment.

#### PURPOSE/JUSTIFICATION OF RECOMMENDED ACTION

The Commission and Housing Authority currently operate out of three administrative offices: 2 Coral Circle in Monterey Park, 4800 E Cesar Chavez in unincorporated East Los Angeles, and 12131 Telegraph Road in Santa Fe Springs. On April 26, 2011 your Board approved a Lease Agreement for a new consolidated headquarters at 700 West Main Street in Alhambra. Construction of the new building is expected to be completed in September 2012, and staff will move in shortly thereafter.

The new facility will be supported by a single data center. Epoch will supply the required technical infrastructure, network security, facility security, and system monitoring. Epoch will be responsible for bringing the new data center online without interruption of service during the data migration process. Epoch will provide a Cisco networking system and a ShoreTel phone system. With the successful completion of the data migration and data center implementation, the Commission will position itself to meet core business requirements for the foreseeable future.

#### FISCAL IMPACT/FINANCING

There is no impact on the County general fund. The Contract will be funded with up to \$3,764,722 in program funds to be included in the Commission and Housing Authority's annual budget processes. A ten percent contingency, in the amount of \$376,472, is being set aside for unforeseen costs over the term of the Contract, using the same source of funds. The Contract may be extended for up to four additional years, in one-year increments, for ongoing data center maintenance, at an annual

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cost not to exceed \$210,000.

A total of up to \$3,130,482 will be financed through a five-year capital lease with Lease Finance Funding, LLC. Monthly lease payments of \$56,905 will commence on July 1, 2012, and will be paid using funds included in the Commission and Housing Authority's annual budgets. Funds for future years will be requested through the annual budget approval process.

A total of up to \$358,240 in program funds included in the Commission and Housing Authority's Fiscal Year 2011-2012 approved budgets will be used to pay a portion of the sales tax and warranty for the Cisco networking equipment from Epoch. A total of up to \$276,000 in program funds included in the Fiscal Year 2012-2013 approved budgets will be used for Voice and Data Connectivity through Centurylink, to be paid monthly through the Contract with Epoch.

#### FACTS AND PROVISIONS/LEGAL REQUIREMENTS

The proposed services are being primarily federally funded, and are not subject to the requirements of the Greater Avenues for Independence (GAIN) Program or the Greater Relief Opportunity for Work (GROW) Program implemented by the County of Los Angeles. Instead, Epoch will comply with Section 3 of the Housing and Community Development Act of 1968, as amended, which requires that employment and other economic opportunities generated by certain U.S. Department of Housing and Urban Development (HUD) assistance be directed to low and very low-income persons, particularly to persons who are recipients of HUD housing assistance.

The Chief Information Office (CIO) has reviewed and approved this request as reflected in the CIO Analysis attached to this letter.

#### **ENVIRONMENTAL DOCUMENTATION**

This action is exempt from the provisions of the National Environmental Policy Act pursuant to 24 Code of Federal Regulations, Part 58, Section 58.35(b)(3), because the project involves activities and will not have a physical impact on or result in any physical changes to the environment. This action is exempt from the provisions of CEQA pursuant to State CEQA Guidelines 15301, because it does not have the potential for causing a significant effect on the environment.

#### **CONTRACTING PROCESS**

On April 24, 2012, the Commission issued a Request for Proposal (RFP) to identify a computer hardware and consulting services vendor to provide Data Migration and Data Center Implementation Plan Services.

On May 10, 2012, two proposals were received. One proposal did not meet the minimum requirements and was not considered for further review. The remaining proposal was forwarded to the three-member evaluation committee for further review. The evaluation committee used the "informed averaged" scoring methodology using a 1,000 points system as established in the solicitation package. The evaluation criteria consisted of qualifications (background, experience, references, etc.), approach to providing the services, Section 3 compliance, and costs. Epoch Universal is being recommended for Contract award based on the evaluation criteria set forth in the RFP.

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The Commission Contract has been reviewed by County Counsel. The Summary of Outreach Activities is attached, as Attachment A.

#### **IMPACT ON CURRENT SERVICES (OR PROJECTS)**

The proposed Contract for Data Migration and Implementation Plan Services will allow the Commission to meet its core business requirements and continue to support local economies, provide housing assistance, and empower families in Los Angeles County.

Respectfully submitted,

SEAN ROGAN

**Executive Director** 

and Sanchy

SR:cs

**Enclosures** 

#### **ATTACHMENT A**

#### Summary of Outreach Activities

Data Migration and Data Center Implementation Plan Service

Beginning on April 24, 2012, the following outreach was initiated to identify qualified contractors to implement a network diagram plan and provide hardware, software and manufacturer warranty and support services that include infrastructure implementation plan services of Local Area Network (LAN), Wide Area Network (WAN), Security, Data Infrastructure (Servers, Backup, SAN, etc.) Storage Area Network (SAN), Voice, Wireless, and Power/UPS with Intermediate Distribution Frame (IDF)/ Main Distribution Frame (MDF) Considerations for the Commission and the Housing Authority.

#### A. Announcement

The solicitation Notice was posted on the Commission website and the County WebVen for 15 days.

#### B. Distribution of Notices

The complete RFP packet was posted on the Commission and County's WebVen website, and available for download by the public. The Commission's vendor list was used to email out notices to six commodity contractors and the County of Los Angeles WebVen website was used to notify 5 commodity vendors.

#### C. <u>Proposal Results</u>

On May 10, 2012, two proposals were received. One proposal did not meet the minimum requirements and was not considered for further review. One proposal was forwarded to the three-member evaluation committee for further review. The evaluation committee used the "informed averaged" scoring methodology using a 1,000 points system as established in the solicitation package. The evaluation criteria consisted of qualifications (background, experience, references, etc.), approach to providing the services, Section 3 and costs. The final evaluation result was as follows:

Rankings Evaluation/Final Score

Epoch Universal, Inc. 978

Epoch Universal, Inc. is being recommended for Contract award based on the evaluation criteria set forth in the RFP.

### D. <u>Minority/Women Participation – Selected Agency</u>

Name Ownership Employees
Epoch Universal, Inc. Woman-owned Total: 24

5 (21%) Minorities 19 (79%) Non-Minority 6 (25%) Women

The Commission conducts ongoing outreach to encourage participation by minorities and women in the contract award process, including: providing information at local and national conferences, expos and vendor fairs, and mailing information to associations representing minorities and women. The above information has been voluntarily provided to the Commission.

The recommended award of Contract is being made in accordance with the Commission's policies and federal regulations, and without regard to race, creed, color, or gender.



### Office of the CIO

### **CIO Analysis**

NUMBER:

DATE:

**CA 12-12** 

5/30/2012

| SUBJECT:   |                        |                         |  |  |
|--|------------------------|-------------------------|--|--|
| Contract and Capital Lease for Data Migration and Data Center  |                        |                         |  |  |
| Implementation Plan Services   |                        |                         |  |  |
|  |                        |                         |  |  |
| RECOMMENDATION:  |                        |                         |  |  |
| ⊠ Approve  | ☐ Approve              | e with modification     | ☐ Disapprove   |  |
| CONTRACT TYPE:   |                        |                         |  |  |
| ⊠ New contract   |                        | ☐ Sole Sou              | rce  |  |
| ☐ Amendment to Contract #:   |                        | ☐ Other:                |  |  |
| CONTRACT COMPONENTS:   |                        |                         |  |  |
| ☑ Software   |                        |                         |  |  |
| □ Telecommunications   |                        | □ Professional Services |  |  |
| SUMMARY:   |                        | _ <u>,</u>              |  |  |
| Department executi   | ve sponsor: Sean Ro    | gan, Executive Director |  |  |
| Description: Community Development Commission (CDC) is requesting authorization to execute a contract with Epoch Universal Inc. (Epoch) to: 1) set up a data center at CDC's new Alhambra headquarters, including networking, wireless and voice communications, data storage and security; and 2) to transfer existing applications, data and some infrastructure from CDC's three current administrative offices to the new data center. Also, CDC is requesting authorization to execute a capital lease to finance the contract. |                        |                         |  |  |
| Contract amount: \$3   |                        | Funding source: Depa    | rtment budget  |  |
| ☐ Legislative or regulatory mandate ☐ Subvened/Grant funded: Federally funded  |                        |                         |  |  |
| Strategic and  | PROJECT GOALS AND OBJE | CCTIVES:                |  |  |
| business analysis  | egic und               |                         |  |  |
|  | BUSINESS DRIVERS:      |                         |  |  |
|  | facility. The data of  | • • •                   | uction of a new headquarters uarters is needed due to the ces. |  |

#### PROJECT ORGANIZATION:

The Executive Director is the project sponsor. The Manager of Information Technology is responsible for the data center migration. The data center migration is part of the project management structure responsible for the development and opening of the new consolidated headquarters building.

#### PERFORMANCE METRICS:

The data migration and data center implementation project is scheduled to be completed in September 2012 to allow CDC to move into its new headquarters which is planned for August 2012.

#### STRATEGIC AND BUSINESS ALIGNMENT:

Support the business objective of a single headquarters building, which will improve CDC's service delivery capabilities. The project seeks minimal impact to CDC's operations and uninterrupted full service capabilities to constituents and stakeholders. The project scope includes all departmental applications, data center hardware, networking infrastructure and telephony to support all CDC sites.

#### PROJECT APPROACH:

Data migration and data center implementation is part of the headquarters building construction project. Epoch is providing all hardware and associated services. The IT components include Cisco networking and data center systems, which are consistent with County standards, and ShoreTel telephony. CDC originally issued an RFI in December 2011 for design and installation services. As a result, 2 RFPs were issued. The first RFP for network design resulted in the selection of Epoch in February 2012 from among 7 proposers. A second RFP for Data Migration and Data Center Implementation Plan Service, which was not related to the result of the first RFP, was issued in April 2012 and in May 2012. Epoch was also selected from among 2 proposers. This project represents the scope of work for the second RFP.

#### **ALTERNATIVES ANALYZED:**

There was a thorough and extensive RFP process exercised on this project. CDC reviewed multiple design options before a design for implementation was decided.

#### Technical analysis

ANALYSIS OF PROPOSED IT SOLUTION:

Epoch is a Cisco Gold Certified Partner. This project meets or exceeds Cisco best practice security requirements and Epoch is certified in Cisco topics including Advanced Security, Advanced Wireless Specialization, Advanced Data Center Networking, as well as Advanced Routing & Switching Specialist. Cisco is the County's enterprise IT standard for local area networking.

CDC will finalize its requirements for a backup generator for the new headquarters facility and is expected to bring a subsequent contract for that equipment to the Board shortly. The anticipated cost for the generator is \$150,000 - \$250,000.

#### Financial analysis

| · · · · · · · · · · · · · · · · · · · |                         |
|---------------------------------------|-------------------------|
| BUDGET:                               |                         |
| Contract costs                        |                         |
| One-time costs:                       |                         |
| Hardware                              | \$ 2,469,384            |
| Software                              | \$ Included in hardware |
| Services                              | \$ 813,022              |
| Ongoing annual costs:                 |                         |
| Hardware                              | \$ 206,317              |
| Software                              | \$ Included in hardware |
| Services                              | \$ 276,000              |
| Sub-total Contract Costs:             | <b>\$</b> 3,764,722     |
|                                       |                         |
| Other County costs:                   |                         |
| One-time costs:                       |                         |
| Hardware                              | \$0                     |
| Software                              | \$0                     |
| Services (ISD)                        | \$0                     |
| County staff (existing)               | \$0                     |
| County staff (net new)                | \$0                     |
| Sub-total one-time County costs:      | \$0                     |
| Ongoing annual costs:                 |                         |
| Hardware                              | \$0                     |
| Software                              | \$0                     |
| Services (ISD)                        | \$0                     |
| Services (Contractor)                 | \$0                     |
| County staff (existing)               | \$0                     |
| County staff (net new)                | \$0                     |

Total one-time costs:

**Sub-total ongoing County costs:** 

**\$** 3,764,722

**Total ongoing annual costs:** 

\$ 482,317 (after Year 1)

The Sub-total Contract Costs of \$3,764,722 shown above includes the first year of hardware/software maintenance and the first year of voice/data service. Total ongoing annual costs of \$482,317 after Year 1 include optional annual hardware/software maintenance of \$206,317 for 4 years, and annual voice/data service of \$276,000. As noted in its Board Letter, CDC plans to use a combination of program funding (FY 2011-12 and 2012-13) and lease financing to fund this project.

### RISK MITIGATION: Risk analysis This is a full migration of all servers and infrastructure within the CDC network. There is inherent risk with a project of this scope. Identified risks include: 1. Possible hardware or software failure. Possible facility or HVAC issues Possible IDF, UPS, or CCTV (Security) issues Mitigation plans and checklists for these items have been established and will continue to be addressed as the project phases are implemented. The Chief Information Security Officer (CISO) has reviewed the Contract and did not identify any IT security or privacy related issues. PREPARED BY: CIO Approval 5/31-2012 Name, Sr. Associate CIO Date APPROVED: 5-31-12

Please contact the Office of the CIO (213.253.5600 or <a href="mailto:info@cio.lacounty.gov">info@cio.lacounty.gov</a>) for questions concerning this CIO Analysis. This document is also available online at <a href="http://ciointranet.lacounty.gov/">http://ciointranet.lacounty.gov/</a>

Richard Sanchez, County CIO

Date



### **CONTRACT**

### BY AND BETWEEN

# COMMUNITY DEVELOPMENT COMMISSION OF THE COUNTY OF LOS ANGELES

**AND** 

**EPOCH UNIVERSAL, INC.** 

**FOR** 

**DATA MIGRATION AND DATA CENTER DESIGN IMPLEMENTATION SERVICES** 

### DATA MIGRATION AND DATA CENTER DESIGN IMPLEMENTATION SERVICES CONTRACT

This Contract is made and entered into this \_\_ day of June, 2012, by and between the Community Development Commission of the County of Los Angeles, hereinafter referred to as "Commission", and Epoch Universal, Inc., hereinafter referred to as "Contractor."

#### **RECITAL**

#### 1. PURPOSE

The Contractor is in the business of providing needed Data Migration and Data Center Implementation Plan services. On May 10, 2012, in response to the Commission's Request for Proposals Contractor submitted a bid to furnish the hereinafter-described Data Migration and Data Center Implementation Plan services to the Commission.

#### **TERMS AND CONDITIONS**

#### 2. TERM

This Contract shall commence on June \_\_\_, 2012 and shall remain in full force and effect for twelve (12) months until June \_\_\_, 2013 unless sooner terminated as provided herein. This Contract may be extended in one-year increments, for a total of four (4) additional years at the sole discretion of the Commission.

#### 3. ADDITIONAL CONSULING/PROFESSIONAL SERVICES

The Commission may purchase additional consulting or professional services that may arise during the Scope of Work of this Contract. Such Services shall be provided by Contractor at time and rates to be mutually agreed upon between Commission and Contractor. Such rates will be equal to or less than rates charged by Contractor to any other customer.

#### 4. <u>DISPUTE RESOLUTION PROCEDURE</u>

A. Contractor and Commission agree to act promptly to mutually resolve any disputes that may arise with respect to the Contract. All such disputes shall be subject to the provisions of this Paragraph. Such provisions are collectively referred to as the "Dispute Resolution Procedures". Time is of the essence in the resolution of disputes.

- B. Contractor and Commission agree that, the existence and details of a dispute notwithstanding, both parties shall continue without delay their performance under this Contract.
- C. If either party fails to continue without delay its performance under this Contract, then any additional costs which may be incurred by the other party as a result of the failure to continue to so perform shall be borne by the non-performing party.
- D. In the event of any dispute between the parties with respect to this Contract, Contractor and Commission shall submit the matter to their respective Project Manager for the purpose of endeavoring to resolve such dispute.
- E. If the Project Managers are unable to resolve the dispute within a reasonable time not to exceed five (5) business days from the date of submission of the dispute, then the matter shall be immediately submitted to the Steering Committee. The Steering Committee, defined in Paragraph 6, shall have five (5) business days to attempt to resolve the dispute.
- F. If the Steering Committee is unable to resolve the dispute within a reasonable time not to exceed five (5) business days from the date of submission of the dispute, then the matter shall be immediately submitted to the Executive Director of the Commission (Executive Director). This person shall have five (5) business days to attempt to resolve the dispute.
- G. In the event that at these levels, there is not a resolution of the dispute acceptable to both parties, then each party may assert its other rights and remedies provided under the Contract and its rights and remedies as provided by law.
- H. All disputes utilizing the Dispute Resolution Procedures shall be documented in writing by each party and shall state the specifics of each alleged dispute and all actions taken. The parties shall act in good faith to resolve all disputes. At all three (3) levels described in this Paragraph (Dispute Resolution Procedure), the efforts to resolve a dispute shall be undertaken by conference between the parties' respective representatives, either orally, by face-to-face meeting or by telephone, or in writing by exchange of correspondence.
- I. The Commission's right to terminate this Contract pursuant to, and in accord with, Paragraph 9 (Termination for Improper Consideration), Paragraph 16 (Termination for Convenience), or Paragraph 18 (Termination for Breach of Warranty to Comply with Commission's Child Support Compliance Program), Paragraph 47 (Termination for Breach of Warranty to Maintain Compliance with County's Defaulted Property Tax Reduction Program) shall not be subject to the Dispute Resolution Procedures. The preceding sentence

is intended only as a clarification of Commission's rights, and shall not be deemed to impair any claims that Contractor may have against Commission or Contractor's rights to assert such claims after any such termination.

#### 5. STEERING COMMITTEE

Department Head - Chairman - Commission Executive Director Senior manager from each major department Project Director over Relocation Project Manager/Business Analyst from each major department Contractor's Project Manager Contractor's Project Sponsor

### 6. CONTRACTOR'S RESPONSIBILITIES

The Contractor agrees to perform in a good workmanlike manner, to the satisfaction of the Commission's Executive Director, all the work described in the attached Statement of Work, Attachment A.

#### 7. COMPENSATION

The Contractor shall submit to the Commission on the 25th day of each month an invoice on a form approved by the Commission for services rendered, as described in Attachment A, Statement of Work. Upon receipt and approval, the Commission will pay the Contractor within thirty (30) days of receipt and approval of the invoice in accordance with Attachment B, Fee Schedule. The total amount of compensation under this Contract will not exceed three million, seven-hundred sixty-four thousand, seven-hundred twenty-two dollars and fifty-two cents (\$3,764,722.52), which shall include all related expenses.

The Contractor shall be paid in accordance with the Commission's standard accounts payable system.

The Contractor shall have no claim against the Commission for payment of any money or reimbursement, of any kind whatsoever, for any service provided by the Contractor after the expiration or other termination of this Contract. Should the Contractor receive any such payment, it shall immediately notify the Commission and shall immediately repay all such funds to the Commission. Payment by the Commission for services rendered after expiration or termination of this Contract shall not constitute a waiver of the Commission's right to recover such payment from Contractor. This provision shall survive the expiration or other termination of this Contract.

#### 8. SOURCE AND APPROPRIATION OF FUNDS

The Commission's obligation is payable only and solely from funds appropriated through the U.S. Department of Housing and Urban Development (HUD) and, for the purpose of this Contract. All funds are appropriated every fiscal year beginning July 1.

In the event this Contract extends into succeeding fiscal years and funds have not been appropriated, this Contract will automatically terminate as of June 30 of the current fiscal year. The Commission will endeavor to notify the Contractor in writing within ten (10) days of receipt of non-appropriation notice.

#### 9. TERMINATION FOR IMPROPER CONSIDERATION

The Commission may, by written notice to the Contractor, immediately terminate the right of the Contractor to proceed under this Contract, if it is found that consideration, in any form, was offered or given by Contractor, either directly or through an intermediary, to any County office, employee or agent with the intent of securing this Contract or securing favorable treatment with respect to the award, amendment or extension of this Contract of the making of any determinations with respect to the Contractor's performance pursuant to this Contract. In the event of such termination, the Commission shall be entitled to pursue the same remedies against the Contractor as it could pursue in the event of default by the Contractor.

The Contractor shall immediately report any attempt by a Commission officer or employee to solicit such improper consideration. The report shall be made either to the Commission's Executive Director or to the County Auditor-Controller's Employee Fraud Hotline at (800) 544-6861.

Among other items, such improper consideration may take the form of cash, discounts, services, the provision of travel or entertainment, or tangible gifts.

#### **10. ASSIGNMENT BY CONTRACTOR**

The Contractor shall not assign its rights or delegate its duties under the Contract, or both, whether in whole or in part, without the prior written consent of the Commission, in its discretion, and any attempted assignment or delegation without such consent shall be null and void. For purposes of this paragraph, Commission consent shall require a written amendment to the Contract, which is formally approved and executed by the parties. Any payments by the Commission to any approved delegate or assignee on any claim under the Contract shall be deductible, at the Commission's sole discretion, against the claims, which the Contractor may have against the Commission. However, the Commission reserves the right to assign this Contract to another public agency without the consent of the Contractor.

Shareholders, partners, members, or other equity holders of the Contractor may transfer, sell, exchange, assign, or divest themselves of any interest they may have

therein. However, in the event any such sale, transfer, exchange, assignment, or divestment is affected in such a way as to give majority control of the Contractor to any person(s), corporation, partnership, or legal entity other than the majority controlling interest therein at the time of execution of the Contract, such disposition is an assignment requiring the prior written consent of the Commission in accordance with applicable provisions of this Contract.

Any assumption, assignment, delegation, or takeover of any of the Contractor's duties, responsibilities, obligations, or performance of same by any entity other than the Contractor, whether through assignment, subcontract, delegation, merger, buyout, or any other mechanism, with or without consideration for any reason whatsoever without the Commission's express prior written approval, shall be a material breach of the Contract which may result in the termination of the Contract. In the event of such termination, the Commission shall be entitled to pursue the same remedies against the Contractor as it could pursue in the event of default by the Contractor.

#### 11. CONFIDENTIALITY OF REPORTS

The Contractor shall keep confidential all reports, information and data received, prepared or assembled pursuant to performance hereunder. Such information shall not be made available to any person, firm, corporation or entity without the prior written consent of the Commission.

#### 12. SUBCONTRACTING

The Contractor may subcontract only those specific portions of work allowed in the original specifications covered by this Contract with prior written approval by the Commission.

The Contractor shall not subcontract any part of the work covered by this Contract or permit subcontracted work to be further subcontracted without prior written approval by the Commission.

#### 13. INSURANCE

Without limiting Contractor's duties to indemnify and defend as provided in this Contract, Contractor shall procure and maintain, at Contractor's sole expense, the insurance policies described herein. Such insurance shall be secured from carriers admitted in California, or authorized to do business in California. Such carriers shall be in good standing with the California Secretary of State's Office and the California Department of Insurance. Such carriers must be admitted and approved by the California Department of Insurance or must be included on the California Department of Insurance List of Eligible Surplus Line Insurers (hereinafter "LESLI"). Such carriers must have a minimum rating of or equivalent to A:VIII in Best's Insurance Guide. Contractor shall, concurrent with the execution of this Contract.

deliver to the Commission certificates of insurance with original endorsements evidencing the insurance coverage required by this Contract. If original endorsements are not immediately available, such endorsements may be delivered subsequent to the execution of this Contract, but no later than thirty (30) days following execution of this Contract. The certificates and endorsements shall be signed by a person authorized by the insurers to bind coverage on its behalf. Contractor shall provide Commission with certificates of insurance and applicable endorsements each year during the term of this Contract to evidence its annual compliance with the insurance requirements set forth herein. The Commission reserves the right to require complete certified copies of all policies at any time. Said insurance shall be in a form acceptable to the Commission and may provide for such deductibles as may be acceptable to the Commission. Any self-insurance program and self-insured retention must be separately approved by the Commission. In the event such insurance does provide for deductibles or selfinsurance, Contractor agrees that it will defend, indemnify and hold harmless the Commission, the Housing Authority of the County of Los Angeles ("Housing Authority"), the County of Los Angeles ("County"), and their elected and appointed officers, officials, representatives, employees, and agents in the same manner as they would have been defended, indemnified and held harmless if full coverage under any applicable policy had been in effect. Each policy shall be endorsed to stipulate that the Commission be given at least thirty (30) days' written notice in advance of any cancellation or any reduction in limit(s) for any policy of insurance required herein. Contractor shall give the Commission immediate notice of any insurance claim or loss which may be covered by insurance. Contractor represents and warrants that the insurance coverage required herein will also be provided by any entities with which Contractor contracts, as detailed below. All certificates of insurance and additional insured endorsements shall carry the following identifier:

#### EPOCH UNIVERSAL, INC.

#### (Name of Contractor)

The insurance policies set forth herein shall be primary insurance with respect to the Commission. The insurance policies shall contain a waiver of subrogation for the benefit of the Commission. Failure on the part of Contractor, and/or any entities with which Contractor contracts, to procure or maintain the insurance coverage required herein may, upon the Commission's sole discretion, constitute a material breach of this Contract pursuant to which the Commission may immediately terminate this Contract and exercise all other rights and remedies set forth herein, at its sole and absolute discretion, and without waiving such default or limiting the rights or remedies of the Commission, procure or renew such insurance and pay any and all premiums in connection therewith and all monies so paid by the Commission shall be immediately repaid by the Contractor to the Commission upon demand including interest thereon at the default rate. In the event of such a breach, the Commission shall have the right, at its sole election, to participate in and control any insurance claim, adjustment, or dispute with the insurance carrier. Contractor's failure to assert

or delay in asserting any claim shall not diminish or impair the Commission's rights against the Contractor or the insurance carrier.

When Contractor, or any entity with which Contractor contracts, is naming the Commission as an additional insured on the general liability insurance policy set forth below, then the additional insured endorsement shall contain language similar to the language contained in ISO form CG 20 10 11 85. In the alternative and in Commission's sole and absolute discretion, it may accept both CG 20 10 10 01 and CG 20 37 10 01 in place of CG 20 10 11 85.

The following insurance policies shall be maintained by Contractor and any entity with which Contractor contracts for the duration of this Contract, unless otherwise set forth herein:

A. GENERAL LIABILITY INSURANCE (written on ISO policy form CG 00 01 or its equivalent) including coverage for personal injury, death, property damage, and contractual liability with limits of not less than the following:

| General Aggregate                       | \$2,000,000 |
|---|-------------|
| Products/Completed Operations Aggregate | \$2,000,000 |
| Personal and Advertising Injury         | \$1,000,000 |
| Each Occurrence                         | \$1,000,000 |

With Additional Insured Endorsement - Must be Primary & Non-Contributory

The Commission, Housing Authority, County, and each of their elected and appointed officers, officials, representatives, employees, and agents (hereinafter collectively referred to as the "Public Agencies and their Agents"), shall be named as additional insureds for contractor's work on such policy.

- B. AUTOMOBILE LIABILITY INSURANCE (written on ISO policy form CA 00 01 or its equivalent) with a limit of liability of not less than \$1 million for each incident. Such insurance shall include coverage of all "owned", "hired" and "non-owned" vehicles, or coverage for "any auto". Must be primary and non-contributory.
- C. WORKERS' COMPENSATION and EMPLOYER'S LIABILITY insurance providing worker's compensation benefits, as required by the Labor Code of the State of California. This must include a <u>waiver of subrogation</u> in favor of the Public Agencies and their Agents. In all cases, the above insurance also shall include Employer's Liability coverage with limits of not less than the following:

| Each Accident         | \$1,000,000 |
|-----------------------|-------------|
| Disease-policy limit  | \$1,000,000 |
| Disease-each employee | \$1,000,000 |

- D. PROFESSIONAL LIABILITY INSURANCE, including coverage for personal injury, death, property damage, and contractual liability in an amount not less than Two Million Dollars (\$2,000,000) for each occurrence (Two Million Dollars (\$2,000,000) general aggregate). Said insurance shall be maintained for the statutory period during which the professional maybe exposed to liability. If Contractor is not providing professional services, then it is the responsibility of Contractor to obtain separate written approval from Commission to eliminate this professional liability insurance requirement. Contractor shall require that the aforementioned professional liability insurance coverage language be incorporated into its contract with any other entity with which it contracts for professional services.
- E. CRIME INSURANCE, including coverage against loss of money, securities, inventory or other property, with limits in amounts not less than indicated below:

| Employee Theft Coverage | \$1,000,000 |
|-------------------------|-------------|
| Forgery Coverage        | \$1,000,000 |
| Client Coverage         | \$1,000,000 |

Must name the Commission, Housing Authority, County, and each of their elected and appointed officers, officials, representatives, employees, and agents (hereinafter collectively referred to as the "Public Agencies and their Agents"), as Loss Payee or provide copy of Client Coverage Endorsement.

Contractor agrees that it will require all of the above mentioned insurance requirements be incorporated in its contract with any entity with which it contracts in relation to this Contract or in relation to the property or project that is the subject of this Contract.

#### 14. INDEMNIFICATION

The Contractor shall indemnify, defend and hold harmless the Commission, Housing Authority, County, and each of their elected and appointed officers, officials, representatives, employees, and agents from and against any and all liability, demands, damages, claims, causes of action, expenses, and fees (including reasonable attorney's fees and costs and expert witness fees), including, but not limited to, claims for bodily injury, property damage, and death (hereinafter collectively referred to as "Liabilities"), that arise out of, pertain to, or relate to Contractor's acts, errors, or omissions arising from, pertaining to, or relating to this Contract except to the extent caused by the sole negligence or willful misconduct of Commission, Housing Authority, or County. This indemnification provision shall remain in full force and effect and survive the termination and/or expiration of this Contract. Contractor agrees to require any and all entities with which it contracts to agree to and abide by the above mentioned indemnification requirements in favor of the Commission, Housing Authority, and County, as applicable to each of them.

#### 15. COMMISSION'S QUALITY ASSURANCE PLAN

The Commission will evaluate Contractor's performance under this Contract on not less than an annual basis. Such evaluation will include assessing Contractor's compliance with all contract terms and performance standards. Contractor deficiencies, which Commission determines are severe or continuing and that may place performance of the Contract in jeopardy, if not corrected, will be reported to the Board of Commissioners. The report will include improvement/corrective action measures taken by the Commission and Contractor. If improvement does not occur consistent with the corrective measure, the Commission may terminate this Contract, pursuant to Paragraph 13 or 14, or impose other remedies as specified in this Contract.

A performance review will be conducted no later than ninety (90) days prior to the end of the first and second years of this Contract to evaluate the performance of the Contractor. Based on the assessment of the performance review, as determined by the Commission in its sole discretion, written notification will be given to the Contractor whether this Contract will be terminated at the end of the current year or will be continued into the next contract year.

#### 16. TERMINATION FOR CONVENIENCE

The Commission reserves the right to cancel this Contract for any reason at all upon thirty (30) days prior written notice to Contractor. In the event of such termination, Contractor shall be entitled to a prorated portion paid for all satisfactory work unless such termination is made for cause, in which event, compensation if any, shall be adjusted in such termination.

#### 17. TERMINATION FOR CAUSE

This Contract may be terminated by the Commission upon written notice to the Contractor for just cause (failure to perform satisfactorily) with no penalties incurred by the Commission upon termination or upon the occurrence of any of the following events in A, B, C or D:

- A. Should the Contractor fail to perform all or any portion of the work required to be performed hereunder in a timely and good workmanlike manner or properly carry out the provisions of this Contract in their true intent and meaning, then in such case, notice thereof in writing will be served upon the Contractor, and should the Contractor neglect or refuse to provide a means for satisfactory compliance with this Contract and with the direction of the Commission within the time specified in such notice, the Commission shall have the power to suspend or terminate the operations of the Contractor in whole or in part.
- B. Should the Contractor fail within five (5) days to perform in a satisfactory manner, in accordance with the provisions of this Contract, or if the work to be done under this Contract is abandoned for more than three days by the Contractor, then notice of

deficiency thereof in writing will be served upon Contractor by the Commission. Should the Contractor fail to comply with the terms of this Contract within five (5) days, upon receipt of said written notice of deficiency, the Executive Director of Commission shall have the power to suspend or terminate the operations of the Contractor in whole or in part.

- C. In the event that a petition of bankruptcy shall be filed by or against the Contractor.
- D. If, through any cause, the Contractor shall fail to fulfill, in a timely and proper manner, the obligations under this Contract, or if the Contractor shall violate any of the covenants, Contracts, or stipulations of this Contract, the Commission shall thereupon have the right to terminate this Contract by giving written notice to the Contractor of such termination and specifying the effective date thereof, at least five days before the effective date of such termination. In such event, all finished or unfinished documents, data, studies, surveys, drawings, maps, models, photographs and reports prepared by the Contractor under this Contract shall, at the option of the Commission become its property and the Contractor shall be entitled to receive just and equitable compensation for any work satisfactorily completed.

# 18. <u>CONTRACTOR'S WARRANTY OF ADHERENCE TO COMMISSION'S CHILD SUPPORT COMPLIANCE PROGRAM</u>

The Contractor acknowledges that the Commission has established a goal of ensuring that all individuals who benefit financially from the Commission through a contract, are in compliance with their court-ordered child, family, and spousal support obligations in order to mitigate the economic burden otherwise imposed upon the taxpayers of the County of Los Angeles.

As required by Commission Child Support Compliance Program and without limiting Contractor's duty under this Contract to comply with all applicable provisions of law, Contractor warrants that it is now in compliance and shall, during the term of this Contract, maintain compliance with employment and wage reporting requirements as required by the Federal Social Security Act (42 USC Section 653a) and California Unemployment Insurance Code Section 1088.5, and shall implement all lawfully served Wage and Earnings Withholding Orders or CSSD Notices of Wage and Earnings Assignment for Child or Spousal Support, pursuant to Code of Civil Procedure Section 706.031 and Family Code Section 5246(b).

### 19. TERMINATION FOR BREACH OF WARRANTY TO COMPLY WITH COMMISSION'S CHILD SUPPORT COMPLIANCE PROGRAM

Failure of the Contractor to maintain compliance with the requirements set forth in Paragraph 15, "CONTRACTOR'S WARRANTY OF ADHERENCE TO Commission's CHILD SUPPORT COMPLIANCE PROGRAM' shall constitute default under this

contract. Without limiting the rights and remedies available to Commission under any other provision of this contract, failure of Contractor to cure such default within 90 calendar days of written notice shall be grounds upon which Commission may terminate this contract pursuant to Paragraph 14 - "TERMINATION FOR CAUSE" and pursue debarment of Contractor, pursuant to Commission Policy.

#### 20. POST MOST WANTED DELINQUENT PARENTS LIST

The Contractor acknowledges that the County places a high priority on the enforcement of child support laws and the apprehension of child support evaders. The Contractor understands that it is County's and Commission's policy to strongly encourage all Contractors to voluntarily post an entitled "L.A.'s Most Wanted: Delinquent Parents" poster in a prominent position at Contractor's place of business. The Child Support Services Department (CSSD) will supply Contractor with the poster to be used.

#### 21. INDEPENDENT CONTRACTOR

This Contract does not, is not intended to, nor shall it be construed to create the relationship of agent, employee or joint venture between the Commission and the Contractor.

#### 22. EMPLOYEES OF CONTRACTOR

Workers' Compensation: The Contractor understands and agrees that all persons furnishing services to the Commission pursuant to this Contract are, for the purposes of Workers' Compensation liability, employees solely of the Contractor. Contractor shall bear sole responsibility and liability for providing Workers' Compensation benefits to any person for injuries arising from an accident connected with services provided to the Commission under this Contract.

Professional Conduct: The Commission does not and will not condone any acts, gestures, comments or conduct from the Contractor's employees, agents or subcontractors which may be construed as sexual harassment or any other type of activities or behavior that might be construed as harassment. The Commission will properly investigate all charges of harassment by residents, employees or agents of the Commission against any and all Contractor's employees, agents or subcontractors providing services for the Commission. The Contractor assumes all liability for the actions of the Contractor's employees, agents or subcontractors and is responsible for taking appropriate action after reports of harassment are received by the Contractor.

#### 23. DRUG-FREE WORKPLACE ACT OF THE STATE OF CALIFORNIA

The Contractor certifies under penalty of perjury under the laws of the State of California that the Contractor will comply with the requirements of the Drug-Free Workplace Act of 1990.

#### 24. SAFETY STANDARDS AND ACCIDENT PREVENTION

The Contractor shall comply with all applicable federal, state and local laws governing safety, health and sanitation. The Contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions, as its own responsibility, reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of this Contract.

#### 25. COMPLIANCE WITH LAWS

The Contractor agrees to be bound by all applicable federal, state and local laws, regulations, and directives as they pertain to the performance of this Contract, including but not limited to, the Housing and Community Development Act of 1974, as amended by the Cranston-Gonzalez National Affordable Housing Act, 1990, and the 24 CFR Part 85, and the Americans with Disabilities Act of 1990. If the compensation under this Contract is in excess of \$100,000 then Contractor shall comply with applicable standards, orders, or requirements issued under section 306 of the Clean Air Act (42 U.S.C. 18579h), section 508 of the Clean Water Act (33 U.S.C. 1368), Executive Order 11738, and Environmental Protection Agency Regulations (40 CFR part 15).

The Contractor must obtain and present all relevant state and local insurance, training and licensing pursuant to services required within this Contract.

The Contractor shall comply with the following laws in Sections 23-32, inclusive, and 41-45, inclusive.

# 26. <u>CIVIL RIGHTS ACT OF 1964, TITLE VI (NON-DISCRIMINATION IN FEDERALLY-ASSISTED PROGRAMS)</u>

The Contractor shall comply with the Civil Rights Act of 1964 Title VI which provides that no person shall, on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving federal financial assistance.

# 27. <u>SECTION 109 OF THE HOUSING AND COMMUNITY DEVELOPMENT ACT OF 1974</u>

The Contractor shall comply with Section 109 of the Housing and Community Development Act of 1974 which states that no person in the United States shall, on the grounds of race, color, national origin, or sex be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity funded in whole or in part with funds made available under this title.

### 28. <u>AGE DISCRIMINATION ACT OF 1975 AND SECTION 504 OF THE</u> REHABILITATION ACT OF 1973

The Contractor shall comply with the Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, which require that no person in the United States shall be excluded from participating in, denied the benefits of, or subject to discrimination under this Contract on the basis of age or with respect to an otherwise qualified disabled individual.

# 29. EXECUTIVE ORDER 11246 AND 11375, EQUAL OPPORTUNITY IN EMPLOYMENT (NON-DISCRIMINATION IN EMPLOYMENT BY GOVERNMENT CONTRACTORS AND SUBCONTRACTORS)

The Contractor shall comply with Executive Order 11246 and 11375, Equal Opportunity in Employment, which requires that during the performance of this Contract, the Contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex or national origin. The Contractor will take affirmative action to ensure that applicants are employed, and that employees are treated fairly during employment, without regard to their race, color, religion, sex or national origin. Such action shall include, but not be limited to the following: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The Contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the contracting officer setting forth the provisions of the non-discrimination clause.

The Contractor will, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex or national origin.

The Contractor will send to each labor union or representative of workers with which he has a collective bargaining Contract or other contract or understanding, a notice to be provided by the agency of the Contractor's commitments under Section 202 of Executive Order No. 11246 of September 24, 1965, and shall post copies of the notice in conspicuous places available to employees and applicants for employment. The Contractor will comply with all provisions of Executive Order No. 11246 of September 24, 1965, and of the rules, regulations and relevant orders of the Secretary of Labor.

The Contractor will furnish all information and reports required by the Executive Order and by the rules, regulations and orders of the Secretary of Labor, or pursuant thereto, and will permit access to its books, records, and accounts by the Commission and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations and orders.

In the event of Contractor's noncompliance with the non-discrimination clauses of this Contract or with any of such rules, regulations or orders, this Contract may be

canceled, terminated or suspended in whole or in part and the Contractor may be declared ineligible for further Government contracts in accordance with procedures authorized in the Executive Orders and such other sanctions may be imposed and remedies invoked as provided in the Executive Order or by rule, regulation or order of the Secretary of Labor, or as otherwise provided by law.

The Contractor will include the provisions of these paragraphs in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to Section 204 of the Executive Order No. 11246 of September 24, 1965, that such provisions will be binding upon each subcontractor or vendor. The Contractor will take such actions with respect to any subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance, provided however, that in the event the Contractor becomes involved in, or is threatened with litigation by a subcontractor or vendor as a result of such direction by the Commission, the Contractor may request the United States to enter into such litigation to protect the interests of the United States.

# 30. <u>SECTION 3 OF THE HOUSING AND URBAN DEVELOPMENT ACT OF 1968, AS AMENDED</u>

- A. The work to be performed under this Contract is subject to the requirements of Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u (Section 3). The purpose of Section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by Section 3, shall, to the greatest extent feasible, be directed to low-and very low-income persons, particularly persons who are recipients of HUD assistance for housing.
- B. The parties to this Contract agree to comply with HUD's regulations in 24 CFR Part 135, which implement Section 3. As evidenced by their execution of this Contract, the parties to this Contract certify that they are under no contractual or other impediment that would prevent them from complying with the Part 135 regulations.
- C. The Contractor agrees to send to each labor organization or representative of workers with which the Contractor has a collective bargaining Contract or other understanding, if any, a notice advising the labor organization or workers' representative of the Contractor's commitments under this Section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the Section 3 preference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training positions, the qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.

- D. The Contractor agrees to include this Section 3 clause in every subcontract subject to compliance with regulations in 24 CFR Part 135, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this Section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 CFR Part 135. The Contractor will not subcontract with any subcontractor where the Contractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 CFR Part 135.
- E. The Contractor will certify that any vacant employment positions, including training positions, that are filled (1) after the Contractor is selected but before the Contract is executed, and (2) with persons other than those to whom the regulations of 24 CFR part 135 require employment opportunities to be directed, were not filled to circumvent the Contractor's obligations under 24 CFR Part 135.
- F. Noncompliance with HUD's regulations in 24 CFR Part 135 may result in sanctions, termination of this Contract for default, and debarment or suspension from future HUD assisted contracts.
- G. With respect to work performed in connection with Section 3 covered Indian housing assistance, section 7(b) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450e) also applies to the work to be performed under this Contract. Section 7(b) requires that to the greatest extent feasible (i) preference and opportunities for training and employment shall be given to Indians, and (ii) preference in the award of contracts and subcontracts shall be given to Indian organizations and Indian-owned Economic Enterprises. Parties to this Contract that are subject to the provisions of Section 3 and section 7(b) agree to comply with Section 3 to the maximum extent feasible, but not in derogation of compliance with section 7(b).

#### 31. FEDERAL LOBBYIST REQUIREMENTS

The Contractor is prohibited by the Department of Interior and Related Agencies Appropriations Act, known as the Byrd Amendments, and HUD's 24 CFR Part 87, from using federally appropriated funds for the purpose of influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, loan or cooperative Contract, and any extension, continuation, renewal, amendment or modification of said documents.

The Contractor must certify in writing on the Federal Lobbyist Requirements Certification form that they are familiar with the Federal Lobbyist Requirements and that all persons and/or subcontractors acting on behalf of the Contractor will comply with the Lobbyist Requirements.

Failure on the part of the Contractor or persons/subcontractors acting on behalf of the Contractor to fully comply with the Federal Lobbyist Requirements may be subject to civil penalties.

#### 32. NOTICE TO EMPLOYEES REGARDING THE FEDERAL EARNED INCOME CREDIT

The Contractor shall notify its employees, and shall require each subcontractor to notify its employees, that they may be eligible for the Federal Earned Income Credit under the federal income tax laws. Such notice shall be provided in accordance with the requirements set forth in Internal Revenue Service Notice 1015.

#### 33. USE OF RECYCLED-CONTENT PAPER PRODUCTS

Consistent with the Board of Supervisors' policy to reduce the amount of solid waste deposited at the County landfills, the Contractor agrees to use recycled-content paper to the maximum extent possible on the Project.

#### 34. CONTRACTOR RESPONSIBILITY AND DEBARMENT

- A. A responsible contractor is a contractor, consultant, vendor, or operating agency who has demonstrated the attribute of trustworthiness, as well as quality, fitness, capacity and experience to satisfactorily perform the contract. It is the policy of the Commission, Housing Authority, and County to conduct business only with responsible contractors.
- B. The Contractor is hereby notified that if the Commission acquires information concerning the performance of the Contractor on this or other contracts which indicates that the Contractor is not responsible, the Commission may, in addition to other remedies provided in the contract, recommend that the Contractor be debarred from bidding or proposing on, or being awarded, and/or performing work on Commission contracts for a specified period of time, which generally will not to exceed five years but may exceed five years or be permanent if warranted by circumstances, and terminate any or all existing contracts the Contractor may have with the Commission.
- C. The Commission may recommend that the Board of Commissioners debar a contractor, consultant, vendor or operating agency if the Board of Commissioners finds, in its discretion, that the contractor, consultant, vendor, or operating agency has done any of the following: (1) violated any term of a contract with the Commission, Housing Authority, or County, or a nonprofit corporation created by the Commission, Housing Authority, or County (2) committed any act or omission which negatively reflects on the its quality, fitness or capacity to perform a contract with the Commission, Housing Authority, or County or any other public entity, or a nonprofit corporation created by the Commission, Housing Authority, or County, or engaged in a pattern or practice which negatively reflects on same, (3) committed an act or offense which indicates a lack of business integrity or

- business honesty, or (4) made or submitted a false claim against the Commission, Housing Authority, County, or any other public entity.
- D. If there is evidence that the Contractor may be subject to debarment, the Commission will notify the Contractor in writing of the evidence, which is the basis for the proposed debarment and will advise the Contractor of the scheduled date for a debarment hearing before the Contractor Hearing Board.
- E. The Contractor Hearing Board will conduct a hearing where evidence on the proposed debarment is presented. The Contractor and/or the Contractor's representative shall be given an opportunity to submit evidence at that hearing. After the hearing, the Contractor Hearing Board shall prepare a tentative proposed decision, which shall contain a recommendation regarding whether the Contractor should be debarred, and, if so, the appropriate length of time of the debarment. The Contractor and the Commission shall be provided an opportunity to object to the tentative proposed decision prior to its presentation to the Board of Commissioners.
- F. After consideration of any objections, or if no objections are submitted, a record of the hearing, the proposed decision and any other recommendation of the Contract Hearing Board shall be presented to the Board of Commissioners. The Board of Commissioners shall have the right to modify, deny or adopt the proposed decision and recommendation of the Hearing Board.
- G. If a Contractor has been debarred for a period longer than five years, that Contractor may, after the debarment has been in effect for at least five years, submit a written request for review of the debarment determination to reduce the period of debarment or terminate the debarment. The Commission may, in its discretion, recommend that the Board of Commissioners reduce the period of debarment or terminate the debarment if it finds that the Contractor has adequately demonstrated one or more of the following: (1) elimination of the grounds for which the debarment was imposed; (2) a bona fide change in ownership or management; (3) material evidence discovered after debarment was imposed; or (4) any other reason that is in the best interests of the Commission.
- H. The Contractor Hearing Board will consider a request for review of the debarment determination only where (1) the Contractor has been debarred for a period longer than five years; (2) the debarment has been in effect for at least five years; and (3) the request is in writing, states one or more of the ground for reduction of the debarment period or termination of the debarment, and includes supporting documentation. Upon receiving an appropriate request, the Contractor Hearing Board will provide notice of the hearing on the request. At the hearing, the Contractor Hearing Board shall conduct a hearing where evidence on the proposed reduction of debarment period or termination of debarment is presented. This hearing shall be conducted and the request for

review decided by the Contractor Hearing Board pursuant to the same procedures as for a debarment Hearing.

The Contractor Hearing Board's proposed decision shall contain a recommendation on the request to reduce the period of debarment or terminate the debarment. The Contractor Hearing Board shall present its proposed decision and recommendation to the Board of Commissioners. The Board of Commissioners shall have the right to modify, deny or adopt the proposed decision and recommendation of the Contractor Hearing Board.

I. These terms shall also apply to subcontractors and subconsultants of County, Commission, or Housing Authority contractors, consultants, vendors and operating agencies.

#### 35. COMPLIANCE WITH JURY SERVICE PROGRAM

- A. Unless the Contractor has demonstrated to the Commission satisfaction either that Contractor is not a "Contractor" as defined under the Jury Service Program or that Contractor qualifies for an exception to the Jury Service Program, Contractor shall have and adhere to a written policy that provides that its Employees shall receive from the Contractor, on an annual basis, no less than five days of regular pay for actual jury service. The policy may provide that Employees deposit any fees received for such jury service with the Contractor or that the Contractor deduct from the Employee's regular pay the fees received for jury service.
- B. For purposes of this Section, "Contractor" means a person, partnership, corporation or other entity which has a contract with the Commission, Housing Authority, or County or a subcontract with a Commission, Housing Authority, or County contractor and has received or will receive an aggregate sum of \$50,000 or more in any 12-month period under one or more Commission, Housing Authority, or County contracts or subcontracts. "Employee" means any California resident who is a full time employee of Contractor. "Full time" means 40 hours or more worked per week, or a lesser number of hours if: 1) the lesser number is a recognized industry standard as determined by the Commission or County, or 2) Contractor has a long-standing practice that defines the lesser number of hours as full-time. Full-time employees providing short-term, temporary services of 90 days or less within a 12-month period are not considered full-time for purposes of the Jury Service Program. If Contractor uses any subcontractor to perform services for the Commission under the Contract, the subcontractor shall also be subject to the provisions of this Section. The provisions of this Section shall be inserted into any such subcontract Contract and a copy of the Jury Service Program shall be attached to the Contract.
- C. If the Contractor is not required to comply with the Jury Service Program when the Contract commences, Contractor shall have a continuing obligation to review

the applicability of its "exception status" from the Jury Service Program, and Contractor shall immediately notify Commission if Contractor at any time either comes within the Jury Service Program's definition of "Contractor" or if Contractor no longer qualifies for an exception to the Program. In either event, Contractor shall immediately implement a written policy consistent with the Jury Service Program. The Commission may also require, at any time during the Contract and at its sole discretion, that Contractor demonstrate to the Commission's satisfaction that Contractor either continues to remain outside of the Jury Service Program's definition of "Contractor" and/or that Contractor continues to qualify for an exception to the Program.

D. The Contractor's violation of this Section of the contract may constitute a material breach of the Contract. In the event of such material breach, Commission may, in its sole discretion, terminate the Contract and/or bar Contractor from the award of future Commission, Housing Authority, or County contracts for a period of time consistent with the seriousness of the breach.

#### 36. ACCESS AND RETENTION OF RECORDS

The Contractor shall provide access to the Commission, the Federal Grantor agency, the Comptroller General of the United States, or any of their duly authorized representatives to any books, documents, papers and records of the Contractor which are directly pertinent to this Contract for the purpose of making audits, examinations, excerpts and transcriptions.

The Contractor is required to retain the aforementioned records for a period of five years after the Commission pays final payment and other pending matters are closed under this Contract.

#### 37. CONFLICT OF INTEREST

The Contractor represents, warrants and agrees that to the best of its knowledge, it does not presently have, nor will it acquire during the term of this Contract, any interest direct or indirect, by contract, employment or otherwise, or as a partner, joint venture or shareholder (other than as a shareholder holding a one (1%) percent or less interest in publicly traded companies) or affiliate with any business or business entity that has entered into any contract, subcontract or arrangement with the Commission. Upon execution of this Contract and during its term, as appropriate, the Contractor shall, disclose in writing to the Commission any other contract or employment during the term of this Contract by any other persons, business or corporation in which employment will or may likely develop a conflict of interest between the Commission's interest and the interests of the third parties.

#### 38. SEVERABILITY

In the event that any provision herein is held to be invalid, void, or illegal by any court of competent jurisdiction, the same shall be deemed severable from the remainder of this Agreement and shall in no way affect, impair or invalidate any other provision contained herein. If any such provision shall be deemed invalid due to its scope or breadth, such provision shall be deemed valid to the extent of the scope or breadth permitted by law.

#### 39. INTERPRETATION

No provision of this Contract is to be interpreted for or against either party because that party or that party's legal representative drafted such provision, but this Contract is to be construed as if drafted by both parties hereto.

#### 40. WAIVER

No breach of any provision hereof can be waived unless in writing. Waiver of any one breach of any provision shall not be deemed to be a waiver of any breach of the same or any other provision hereof.

#### 41. PATENT RIGHTS

The Commission will hold all the patent rights with respect to any discovery or invention, which arises or is developed in the course of, or under this Contract.

#### 42. COPYRIGHT

No report, maps, or other documents produced in whole or in part under this Contract shall be the subject of an application for copyright by or on behalf of the Contractor. All such documents become the property of the Commission and the Commission holds all the rights to said data.

#### 43. NOTICES

The Commission shall provide the Contractor with notice of any injury or damage arising from or connected with services rendered pursuant to this Contract to the extent that Commission has actual knowledge of such injury or damage. Commission shall provide such notice within ten (10) days of receiving actual knowledge of such injury or damage.

Notices provided for in this Contract shall be in writing and shall be addressed to the person intended to receive the same, at the following address:

The Commission: Harold J. Pierce, Director

Administrative Services Division

2 S. Coral Circle

Monterey Park, CA 91754

The Contractor: Tamra McLaughlin, CFO

Epoch Universal, Inc.

1 Technology Drive, Suite C-511

Irvine, CA 92618

Notices addressed as above provided shall be deemed delivered three (3) business days after mailed by U.S. Mail or when delivered in person with written acknowledgement of the receipt thereof. The Contractor and the Commission may designate a different address or addresses for notices to be sent by giving written notice of such change of address to all other parties entitled to receive notice.

# 44. NOTICE TO EMPLOYEES REGARDING THE SAFELY SURRENDERED BABY LAW

The Contractor shall notify and provide to its employees, and shall require each subcontractor to notify and provide to its employees, a fact sheet regarding the Safely Surrendered Baby Law, its implementation in Los Angeles County, and where and how to safely surrender a baby. The fact sheet is set forth in *Attachment D – Required Contract Notices* of this Contract and is also available on the Internet at <a href="https://www.babysafela.org">www.babysafela.org</a> for printing purposes.

# 45. CONTRACTOR'S ACKNOWLEDGMENT OF COMMISSION'S COMMITMENT TO THE SAFELY SURRENDERED BABY LAW

The Contractor acknowledges that the Commission places a high priority on the implementation of the Safely Surrendered Baby Law. The Contractor understands that it is the Commission's policy to encourage all Commission Contractors to voluntarily post the Commission's "Safely Surrendered Baby Law" poster in a prominent position at the Contractor's place of business. The Contractor will also encourage its Subcontractors, if any, to post this poster in a prominent position in the Subcontractor's place of business. The Department of Children and Family Services of the County of Los Angeles will supply the Contractor with the poster to be used.

#### 46. CONTRACTOR'S CHARITABLE CONTRIBUTIONS COMPLIANCE

The Supervision of Trustees and Fundraisers for Charitable Purposes Act regulates entities receiving or raising charitable contributions. The "Nonprofit Integrity Act of 2004" (SB 1262, Chapter 919) increased Charitable Purposes Act requirements. By requiring Contractors to complete the Charitable Contributions Certification as included in *Attachment C – Required Contract Forms*, the Commission seeks to ensure that all Commission contractors that receive or raise charitable contributions comply with California law in order to protect the Commission and its taxpayers. A Contractor that receives or raises charitable contributions without complying with its obligations under California law commits a material breach subjecting it to either contract termination or debarment proceedings, or both.

### 47. CONTRACTOR'S WARRANTY OF COMPLIANCE WITH COUNTY'S DEFAULTED PROPERTY TAX REDUCTION PROGRAM

The Contractor acknowledges that the Commission has established a goal of ensuring that all individuals and businesses that benefit financially from the Commission through contract are current in paying their property tax obligations (secured and unsecured roll) in order to mitigate the economic burden otherwise imposed upon the County and its taxpayers. Unless the Contractor qualifies for an exemption or exclusion, the Contractor warrants and certifies that to the best of its knowledge it is now in compliance, and during the term of this Contract will maintain compliance, with the County's Defaulted Tax Program pursuant to Los Angeles County Code, Chapter 2.206.

# 48. <u>TERMINATION FOR BREACH OF WARRANTY TO MAINTAIN COMPLIANCE WITH COUNTY'S DEFAULTED PROPERTY TAX REDUCTION PROGRAM</u>

Failure of the Contractor to maintain compliance with the requirements set forth in Paragraph "CONTRACTOR'S WARRANTY OF COMPLIANCE WITH COUNTY'S DEFAULTED PROPERTY TAX REDUCTION PROGRAM" shall constitute default under this Contract. Without limiting the rights and remedies available to the Commission under any other provision of this Contract, failure of the Contractor to cure such default within 10 days of notice shall be grounds upon which Commission may terminate this contract and/or pursue debarment of the Contractor, pursuant to County's Defaulted Property Tax Reduction Program pursuant to Los Angeles County Code, Chapter 2.206.

#### 49. <u>AUTHORIZATION WARRANTY</u>

Each party represents and warrants that the person executing this Agreement or any amendment thereto for that party is an authorized agent of such party who has actual authority to bind the party to each and every term, condition and obligation of this Agreement, and that all requirements of each party have been fulfilled to provide such actual authority.

#### 50. CONFLICTS INTERPRETATION; ORDER OF PRECEDENCE

The parties agree that any court or other body of competent jurisdiction interpreting this Contract shall to the fullest extent possible, interpret the terms of this Contract, including all attachments, as complementary rather than conflicting. In the event that, notwithstanding the interpretation provided in the preceding sentence, an unavoidable conflict arises the conflict shall be resolved by the following order of precedence of the Contract components:

The body of this Contract;

- 1. Statement of Work
- 2. Fee Schedule
- 3 Payment Schedule
- 4. Required Contract Forms
- 5. Required Contract Notices
- 6. System Software License(s) and Service Agreement(s)
- 7. Contractor's Proposal/Implementation/Project Plan
- 8. Email Communications

### 51. ENTIRE CONTRACT

This Contract with Attachments A through G constitutes the entire understanding and Contract of the parties. This Contract includes the following attachments:

- A. Statement of Work
- B. Fee Schedule
- C. Payment Schedule
- D. Required Contract Forms
- E. Required Contract Notices
- F. Contractor's Proposal/Implementation/Project Plan
- G. Software License(s) and Service Agreement(s)

### **SIGNATURES**

IN WITNESS WHEREOF, the Commission and the Contractor, through their duly authorized officers, have executed this Contract as of the date first above written.

| COMMUNITY DEVELOPMENT COMMISSION<br>OF THE COUNTY OF LOS ANGELES | EPOCH UNIVERSAL, INC.                              |
|--|--|
| By<br>Sean Rogan<br>Executive Director                           | By Tamra McLaughlin Chief Financial Officer        |
| APPROVED AS TO FORM:<br>JOHN F. KRATTLI<br>Acting County Counsel | APPROVED AS TO PROGRAM:<br>ADMINISTRATIVE DIVISION |
| By<br>Behnaz Tashakorian<br>Deputy                               | By<br>Harold J. Pierce<br>Director                 |

## ATTACHMENT A STATEMENT OF WORK

#### **STATEMENT OF WORK**

(Insert language)

# ATTACHMENT B FEE SCHEDULE

#### **ATTACHMENT B**

#### **FEE SCHEDULE**

| Total                                     | <u>\$3,764,722.52</u> |
|---|-----------------------|
| Sales Tax (8.25%)                         | \$191,640.69          |
| Subtotal                                  | \$3,573,081.83        |
| Centurylink                               | \$276,000.00          |
| Infrastructure Maintenance (Year 1)**     | \$206,316.53          |
| Infrastructure Hardware and Software*     | \$2,277,743.13        |
| Data Migration and Professional Services* | \$813,022.17          |

Optional one-year extensions for ongoing maintenance:

| Year 2 | \$206,316.53 |
|--------|--------------|
| Year 3 | \$206,316.53 |
| Year 4 | \$206,316.53 |
| Year 5 | \$206,316.53 |

<sup>\*\*\*</sup>Trade in allowances are available which can lower the total price.

<sup>\*</sup>Contractor shall, if warranted, explore alternative equipment recommendations, based on the network diagram in Exhibit 1.C and list of material in Exhibit 1.D of the Statement of Work, and associated cost structure, and provide the recommendations to the Commission as referenced in 3.2.1 of the State of Work.

<sup>\*\*</sup>The Contractor shall provide an ongoing maintenance renewal, as referenced in 4.0, Maintenance Renewal of the Statement Work, for one full year with the option of four (4) one-year extensions, provided services are satisfactory and funds are available, for hardware and software as referenced in Exhibit 1.D of the Statement of Work.

# ATTACHMENT C PAYMENT SCHEDULE

#### **ATTACHMENT C**

#### **PAYMENT SCHEDULE**

The Contractor shall provide the required services, hardware, software, and the annual maintenance for the Commission as described in the Statement of Work Attachment A and Proposal/Implementation/Project Plan in Attachment F.

The Commission will compensate the Contractor based on the following payment schedule:

| Task   | Payment Amount |                  |
|--|----------------|------------------|
| Data Migration and Professional Services After initial 30-days/Acceptance of Project Plan          | \$             | 358,240.00       |
| Professional Services to be Billed and Paid upon completion of services                            | \$             | 813,022.17       |
| Infrastructure Hardware and Software to be Billed Upon Ordering and Paid within 30 days of Receipt | \$ 2           | 2,277,743.13     |
| Sales Tax (CA 8.75%) as Billed   | \$             | 191,640.69       |
| Infrastructure Maintenance Paid within 30 days of Receipt And annually thereafter                  | \$             | 206,316.53       |
| Year 1   | \$             | 206,316.53       |
| Year 2   | \$             | 206,316.53       |
| Year 3   | \$             | 206,316.53       |
| Year 4   | \$             | 206,316.53       |
| SUBTOTAL   | \$3            | 3,764,722.52     |
| Contingency Allowance for Commission   |                |                  |
| Unforeseen Cost  | \$             | 376,472.25 (10%) |
| TOTAL  | \$             | 4,141,194.77     |

# ATTACHMENT D REQUIRED CONTRACT FORMS

#### **Applicable Forms**

(Insert all applicable required forms)

# ATTACHMENT E REQUIRED CONTRACT NOTICES

#### BACKGROUND AND RESOURCES: CALIFORNIA CHARITIES REGULATION

There is a keen public interest in preventing misuse of charitable contributions. California's "Supervision of Trustees and Fundraisers for Charitable Purposes Act" regulates those raising and receiving charitable contributions. The "Nonprofit Integrity Act of 2004" (SB 1262, Chapter 919) tightened Charitable Purposes Act requirements for charitable organization administration and fundraising.

The Charitable Purposes Act rules cover California public benefit corporations, unincorporated associations, and trustee entities. They may include similar foreign corporations doing business or holding property in California. Generally, an organization is subject to the registration and reporting requirements of the Charitable Purposes Act if it is a California nonprofit public benefit corporation or is tax exempt under Internal Revenue Code § 501(c)(3), and not exempt from reporting under Government Code § 12583. Most educational institutions, hospitals, cemeteries, and religious organizations are exempt from Supervision of Trustees Act requirements.

Key new Charitable Purposes Act requirements affect executive compensation, fundraising practices and documentation. Charities with over \$2 million of revenues (excluding grants and service-contract funds a governmental entity requires to be accounted for) have new audit requirements. Charities required to have audits must also establish an audit committee whose members have no material financial interest in any entity doing business with the charity.

Organizations or persons that receive or raise charitable contributions are likely to be subject to the Charitable Purposes Act. A bidder/proposer on Commission and/or Housing Authority contracts must determine if it is subject to the Charitable Purposes Act and certify either that:

- It is not presently subject to the Act, but will comply if later activities make it subject, or,
- If subject, it is currently in compliance.

#### **RESOURCES**

The following resource references are offered to assist bidders/proposers who engage in charitable contributions activities, however, each bidder/proposer is responsible to research and determine its own legal obligations and properly complete the Charitable Contributions Certification form.

In California, supervision of charities is the responsibility of the Attorney General, whose website, <a href="http://caag.state.ca.us/">http://caag.state.ca.us/</a>, contains much information helpful to regulated charitable organizations.

#### 1. LAWS AFFECTING NONPROFITS

The "Supervision of Trustees and Fundraisers for Charitable Purposes Act" is found at California Government Code §§ 12580 through 12599.7. Implementing regulations are found at Title 11, California Code of Regulations, §§ 300 through 312. In California, charitable solicitations ("advertising") are governed by Business & Professions Code §§ 17510 through 17510.95. Regulation of nonprofit corporations is found at Title 11, California Code of Regulations, §§ 999.1 through 999.5. (Amended regulations are pending.) Links to all of these rules are at: <a href="http://caag.state.ca.us/charities/statutes.htm">http://caag.state.ca.us/charities/statutes.htm</a>.

#### 2. SUPPORT FOR NONPROFIT ORGANIZATIONS

Several organizations offer both complimentary and fee-based assistance to nonprofits, including in Los Angeles, the *Center for Nonprofit Management*, 606 S. Olive St #2450, Los Angeles, CA 90014 (213) 623-7080 <a href="http://www.cnmsocal.org/">http://www.cnmsocal.org/</a>, and statewide, the *California Association of Nonprofits*, <a href="http://www.canonprofits.org/">http://www.canonprofits.org/</a>. Both organizations' websites offer information about how to establish and manage a charitable organization.

The above information, including the organizations listed, is for informational purposes only. Nothing contained in this sub-section shall be construed as an endorsement by the Commission of such organizations.



#### Notice 1015

(Rev. December 2009)

#### Have You Told Your Employees About the Earned Income Credit (EIC)?

#### What Is the EIC?

The EIC is a refundable tax credit for certain workers.

#### Which Employees Must I Notify About the EIC?

You must notify each employee who worked for you at any time during the year and from whom you did not withhold income tax. However, you do not have to notify any employee who claimed exemption from withholding on Form W-4, Employee's Withholding Allowance Certificate.

Note. You are encouraged to notify each employee whose wages for 2009 are less than \$48,279 that he or she may be eligible for the EIC.

#### How and When Must I Notify My Employees?

You must give the employee one of the following:

- The IRS Form W-2, Wage and Tax Statement, which has the required information about the EIC on the back of Copy B.
- A substitute Form W-2 with the same EIC information on the back of the employee's copy that is on Copy B of the IRS Form W-2.
- Notice 797, Possible Federal Tax Refund Due to the Earned Income Credit (EIC).
- Your written statement with the same wording as Notice 797.

If you are required to give Form W-2 and do so on time, no further notice is necessary if the Form W-2 has the required information about the EIC on the back of the employee's copy. If a substitute Form W-2 is given on time but does not have the required information, you must notify the employee within 1 week of the date the substitute Form W-2 is given. If Form W-2 is required but is not given on time, you must give the employee Notice 797 or your written statement by the date Form W-2 is required to be given. If Form W-2 is not required, you must notify the employee by February 8, 2010.

You must hand the notice directly to the employee or send it by First-Class Mail to the employee's last known address. You will not meet the notification requirements by posting Notice 797 on an employee bulletin board or sending it through office mail. However, you may want to post the notice to help inform all employees of the EIC. You can get copies of the notice from the IRS website at www.irs.gov or by calling 1-800-829-3676.

#### How Will My Employees Know If They Can Claim the EIC?

The basic requirements are covered in Notice 797. For more detailed information, the employee needs to see Pub. 596, Earned Income Credit (EIC), or the instructions for Form 1040, 1040A, or 1040EZ.

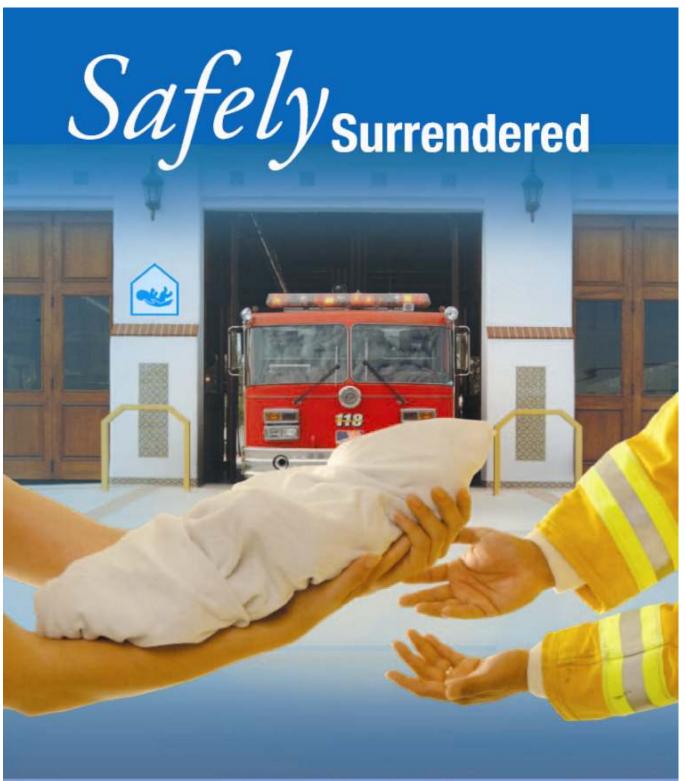
#### How Do My Employees Claim the EIC?

Eligible employees claim the EIC on their 2009 tax return. Even employees who have no tax withheld from their pay or owe no tax can claim the EIC and get a refund, but they must file a tax return to do so. For example, if an employee has no tax withheld in 2009 and owes no tax but is eligible for a credit of \$829, he or she must file a 2009 tax return to get the \$829 refund.

#### How Do My Employees Get Advance EIC Payments?

Eligible employees who expect to have a qualifying child for 2010 can get part of the credit with their pay during the year by giving you a completed Form W-5, Earned Income Credit Advance Payment Certificate. You must include advance EIC payments with wages paid to these employees, but the payments are not wages and are not subject to payroll taxes. Generally, the payments are made from withheld income, social security, and Medicare taxes. For details, see Pub. 15 (Circular E), Employer's Tax Guide.

Notice **1015** (Rev. 12-2009) Cat. No. 205991



No shame. No blame. No names.

In Los Angeles County: 1-877-BABY SAFE • 1-877-222-9723

www.babysafela.org



### Safely Surrendered Baby Law

#### What is the Safely Surrendered Baby Law?

California's Safely Surrendered Baby Law allows parents or other persons, with lawful custody, which means anyone to whom the parent has given permission to confidentially surrender a baby. As long as the baby is three days (72 hours) of age or younger and has not been abused or neglected, the baby may be surrendered without fear of arrest or prosecution.

#### How does it work?

A distressed parent who is unable or unwilling to care for a baby can legally, confidentially, and safely surrender a baby within three days (72 hours) of birth. The baby must be handed to an employee at a hospital or fire station in Los Angeles County. As long as the baby shows no sign of abuse or neglect, no name or other information is required. In case the parent changes his or her mind at a later date and wants the baby back, staff will use bracelets to help connect them to each other. One bracelet will be placed on the baby, and a matching bracelet will be given to the parent or other surrendering adult.

#### What if a parent wants the baby back?

Parents who change their minds can begin the process of reclaiming their baby within 14 days. These parents should call the Los Angeles County Department of Children and Family Services at 1-800-540-4000.

#### Can only a parent bring in the baby?

No. While in most cases a parent will bring in the baby, the Law allows other people to bring in the baby if they have lawful custody.

#### Does the parent or surrendering adult have to call before bringing in the baby?

No. A parent or surrendering adult can bring in a baby anytime, 24 hours a day, 7 days a week, as long as the parent or surrendering adult surrenders the baby to someone who works at the hospital or fire station.

#### Does the parent or surrendering adult have to tell anything to the people taking the baby?

No. However, hospital or fire station personnel will ask the surrendering party to fill out a questionnaire designed to gather important medical history information, which is very useful in caring for the baby. The questionnaire includes a stamped return envelope and can be sent in at a later time.

#### What happens to the baby?

The baby will be examined and given medical treatment. Upon release from the hospital, social workers immediately place the baby in a safe and loving home and begin the adoption process.

#### What happens to the parent or surrendering adult?

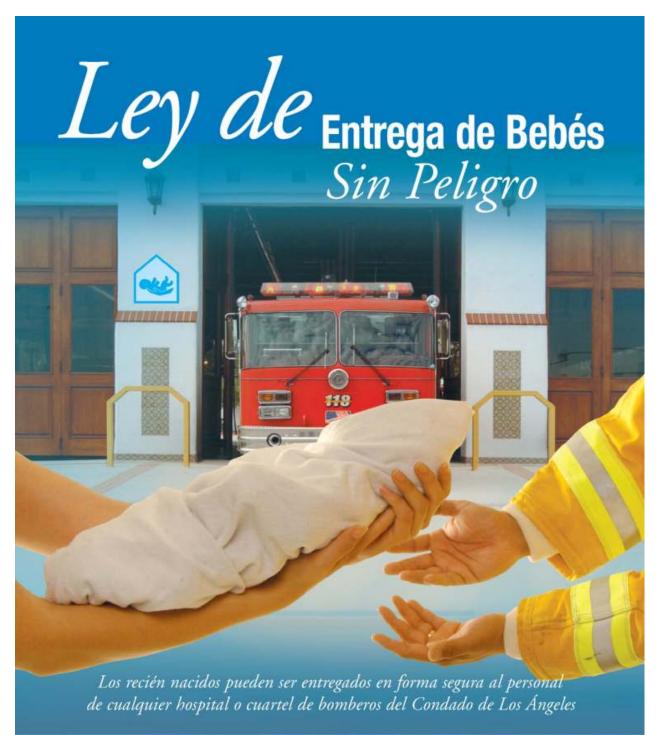
Once the parent or surrendering adult surrenders the baby to hospital or fire station personnel, they may leave at any time.

#### Why is California doing this?

The purpose of the Safely Surrendered Baby Law is to protect babies from being abandoned, hurt or killed by their parents. You may have heard tragic stories of babies left in dumpsters or public bathrooms. Their parents may have been under severe emotional distress. The mothers may have hidden their pregnancies, fearful of what would happen if their families found out. Because they were afraid and had no one or nowhere to turn for help, they abandoned their babies. Abandoning a baby is illegal and places the baby in extreme danger. Too often, it results in the baby's death. The Safely Surrendered Baby Law prevents this tragedy from ever happening again in California.

#### A baby's story

Early in the morning on April 9, 2005, a healthy baby boy was safely surrendered to nurses at Harbor-UCLA Medical Center. The woman who brought the baby to the hospital identified herself as the baby's aunt and stated the baby's mother had asked her to bring the baby to the hospital on her behalf. The aunt was given a bracelet with a number matching the anklet placed on the baby; this would provide some identification in the event the mother changed her mind about surrendering the baby and wished to reclaim the baby in the 14-day period allowed by the Law. The aunt was also provided with a medical questionnaire and said she would have the mother complete and mail back in the stamped return envelope provided. The baby was examined by medical staff and pronounced healthy and full-term. He was placed with a loving family that had been approved to adopt him by the Department of Children and Family Services.



Sin pena. Sin culpa. Sin nombres.

En el Condado de Los Ángeles: 1-877-BABY SAFE • 1-877-222-9723

www.babysafela.org



### Ley de Entrega de Bebés Sin Peligro

#### ¿Qué es la Ley de Entrega de Bebés sin Peligro?

La Ley de Entrega de Bebés sin
Peligro de California permite la
entrega confidencial de un recién
nacido por parte de sus padres u
otras personas con custodia legal,
es decir cualquier persona a quien
los padres le hayan dado permiso.
Siempre que el bebé tenga tres
días (72 horas) de vida o menos, y
no haya sufrido abuso ni
negligencia, pueden entregar al
recién nacido sin temor de ser
arrestados o procesados.

Cada recién nacido se merece la oportunidad de tener una vida saludable. Si alguien que usted conoce está pensando en abandonar a un recién nacido, infórmele que tiene otras opciones. Hasta tres días (72 horas) después del nacimiento, se puede entregar un recién nacido al personal de cualquier hospital o cuartel de bomberos del condado de Los Angeles.

#### ¿Cómo funciona?

El padre/madre con dificultades que no pueda o no quiera cuidar de su recién nacido puede entregarlo en forma legal, confidencial y segura dentro de los tres días (72 horas) del nacimiento. El bebé debe ser entregado a un empleado de cualquier hospital o cuartel de bomberos del Condado de Los Ángeles. Siempre que el bebé no presente signos de abuso o negligencia, no será necesario suministrar nombres ni información alguna. Si el padre/madre cambia de opinión posteriormente y desea recuperar a su bebé, los trabajadores utilizarán brazaletes para poder vincularlos. El bebé llevará un brazalete y el padre/madre o el adulto que lo entregue recibirá un brazalete igual.

#### ¿Qué pasa si el padre/madre desea recuperar a su bebé?

Los padres que cambien de opinión pueden comenzar el proceso de reclamar a su recién nacido dentro de los 14 días. Estos padres deberán llamar al Departamento de Servicios para Niños y Familias (Department of Children and Family Services) del Condado de Los Ángeles al 1-800-540-4000.

#### ¿Sólo los padres podrán llevar al recién nacido?

No. Si bien en la mayoría de los casos son los padres los que llevan al bebé, la ley permite que otras personas lo hagan si tienen custodia legal.

#### ¿Los padres o el adulto que entrega al bebé deben llamar antes de llevar al bebé?

No. El padre/madre o adulto puede llevar al bebé en cualquier momento, las 24 horas del día, los 7 días de la semana, siempre y cuando entreguen a su bebé a un empleado del hospital o cuartel de bomberos.

#### ¿Es necesario que el padre/ madre o adulto diga algo a las personas que reciben al bebé?

No. Sin embargo, el personal del hospital o cuartel de bomberos le pedirá a la persona que entregue al bebé que llene un cuestionario con la finalidad de recabar antecedentes médicos importantes, que resultan de gran utilidad para cuidar bien del bebé. El cuestionario incluye un sobre con el sello postal pagado para enviarlo en otro momento.

#### ¿Qué pasará con el bebé?

El bebé será examinado y le brindarán atención médica. Cuando le den el alta del hospital, los trabajadores sociales inmediatamente ubicarán al bebé en un hogar seguro donde estará bien atendido, y se comenzará el proceso de adopción.

#### ¿Qué pasará con el padre/madre o adulto que entregue al bebé?

Una vez que los padres o adulto hayan entregado al bebé al personal del hospital o cuartel de bomberos, pueden irse en cualquier momento.

#### ¿Por qué se está haciendo esto en California? ?

La finalidad de la Ley de Entrega de Bebés sin Peligro es proteger a los bebés para que no sean abandonados, lastimados o muertos por sus padres. Usted probablemente haya escuchado historias trágicas sobre bebés abandonados en basureros o en baños públicos. Los padres de esos bebés probablemente hayan estado pasando por dificultades emocionales graves. Las madres pueden haber ocultado su embarazo, por temor a lo que pasaría si sus familias se enteraran. Abandonaron a sus bebés porque tenían miedo y no tenían nadie a quien pedir ayuda. El abandono de un recién nacido es ilegal y pone al bebé en una situación de peligro extremo. Muy a menudo el abandono provoca la muerte del bebé. La Ley de Entrega de Bebés sin Peligro impide que vuelva a suceder esta tragedia en California.

#### Historia de un bebé

A la mañana temprano del día 9 de abril de 2005, se entregó un recién nacido saludable a las enfermeras del Harbor-UCLA Medical Center. La mujer que llevó el recién nacido al hospital se dio a conocer como la tía del bebé, y dijo que la madre le había pedido que llevara al bebé al hospital en su nombre. Le entregaron a la tía un brazalete con un número que coincidía con la pulsera del bebé; esto serviría como identificación en caso de que la madre cambiara de opinión con respecto a la entrega del bebé y decidiera recuperarlo dentro del período de 14 días que permite esta ley. También le dieron a la tía un cuestionario médico, y ella dijo que la madre lo llenaría y lo enviaría de vuelta dentro del sobre con franqueo pagado que le habían dado. El personal médico examinó al bebé y se determinó que estaba saludable y a término. El bebé fue ubicado con una buena familia que ya había sido aprobada para adoptarlo por el Departamento de Servicios para Niños y Familias.

#### **ATTACHMENT F**

#### Contractor's Proposal/ Implementation/Project Plan

Insert Contractor's Proposal/Implementation/Project Plan